



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Robert B. Lowrance, Martin R. Elliott, Jeffrey C. Hudgens and Eric A. Enghardt
Title: METHODS AND APPARATUS FOR TRANSPORTING SUBSTRATE CARRIERS
Serial No.: 10/764,982
Filed: January 26, 2004
Examiner: Mark A. Deuble
Group Art Unit: 3651

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with four cited references.

☒ Transmittal and Fax Cover Sheet.

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$88.00	\$0.00
Basic Filing Fee				\$790.00	\$0.00
TOTAL FEES					\$0.00

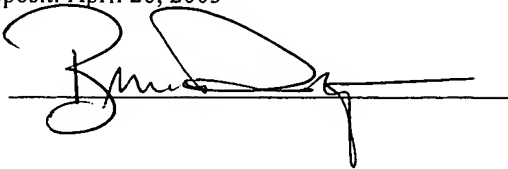
☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.


☒ Please address all future correspondence to:
Customer # 41161
Dugan & Dugan, PC
55 South Broadway
Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: April 20, 2005

Signature: 

Respectfully submitted,


Brian M. Dugan
Registration No. 41,720
(914) 332-9081



PATENTS
7163/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Robert B. Lowrance, Martin
R. Elliott, Jeffrey C. Hudgens and Eric A.
Englhardt

Serial No. : 10/764,982

Filed : January 26, 2004

For : METHODS AND APPARATUS FOR TRANSPORTING
SUBSTRATE CARRIERS

Group Art Unit : 3651

Customer No. : 41161

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 5,110,249, Arthur E. Norman

U.S. Patent No. 6,036,426, Gary Hillman

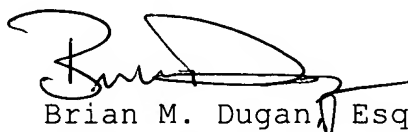
U.S. Patent No. 6,183,186, Peltola et al.

Foreign Art Reference No. EP 0 277 536 (EPO)

These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449).

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a stylized flourish extending from the end.

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Dated: April 20, 2005
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:
7163/SYNX/SYNX/JWSerial No.:
10/764,982

LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:

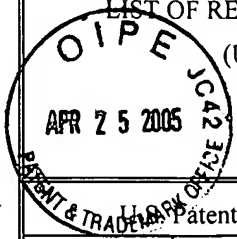
Michael R. Rice, et al

Filing Date:

January 26, 2004

Group:

3651



U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1	5,110,249	05/05/92	Arthur E. Norman			
	US-2	6,036,426	03/14/00	Gary Hillman			
	US-3	6,183,186	02/06/01	Peltola et al.			
	US-4						
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

Foreign Patent Documents

Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	F-1	EP 0 277 536	08/10/88	EPO			X (Abstract)	
	F-2							
	F-3							
	F-4							
	F-5							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OT-1	
	OT-2	
	OT-3	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.